

Compliance

Balcke-Dürr is committed to sustainability itself and has undertaken to comply with the relevant regulations and laws. We see sustainable and responsible action as an important basis for cooperation with our business partners. We therefore expect our business partners to conduct their business activities with integrity, i.e., in particular to comply with the law applicable to them in each case, e.g., human rights, anti-corruption, data protection, competition, antitrust, occupational health and safety and environmental law, and to work to ensure that the principles set out in this Code of Conduct for Business Partners are also observed by their own business partners.

Conduct in competition

Balcke-Dürr GmbH claims to always act as a fair and responsible market participant and expects the same from our business partners.

a) Competition and antitrust law

We and our business partners comply with all relevant competition law requirements. In particular, we do not enter into any agreements or arrangements that influence prices, conditions, strategies or customer relationships, especially participation in tenders. The same applies to the exchange of competitively sensitive information as well as to other conduct that may restrict or limit competition in an impermissible manner.

b) Export and import controls / counterterrorism

In particular with regard to global business activities, we and our business partners ensure compliance with all applicable laws for the import and export of goods, services and information as well as for combating international terrorism.

Compliance with the Code of Conduct and sanctions

a) Obligation to comply

We and our business partners shall ensure that the principles set out in this Code of Conduct for Business Partners are complied with in each case by us and them.

b) Notes to the management

We and our business partners use the opportunity to immediately report to the management of Balcke-Dürr GmbH any indications of criminal acts committed in the course of our work for Balcke-Dürr GmbH that may have an impact on Balcke-Dürr GmbH. Such information will be treated confidentially.

c) Protection of whistleblowers

We and our business partners do not tolerate any discrimination against persons who report violations of the principles set out in this Code of Conduct for Business Partners.

d) Supply chain

We and our business partners carefully select the suppliers we engage in the course of our business, communicate to them the principles set out in this Code of Conduct for Business Partners or equivalent principles, and work to ensure that these principles are also adhered to by their suppliers.

e) Sanctions

Balcke-Dürr GmbH attaches importance to cooperation in a spirit of partnership between itself and its business partners. In the case of minor violations of this Code of Conduct for Business Partners, the business partner is therefore generally given the opportunity to implement appropriate remedial measures within a reasonable period of time if the business partner is fundamentally willing to remedy and improve the situation. However, in the event of serious violations (in particular the commission of criminal acts), Balcke-Dürr GmbH reserves the right to impose appropriate sanctions on the respective business partner. This may also lead to the immediate termination of the business relationship as well as to the assertion of claims for damages and other rights.

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